

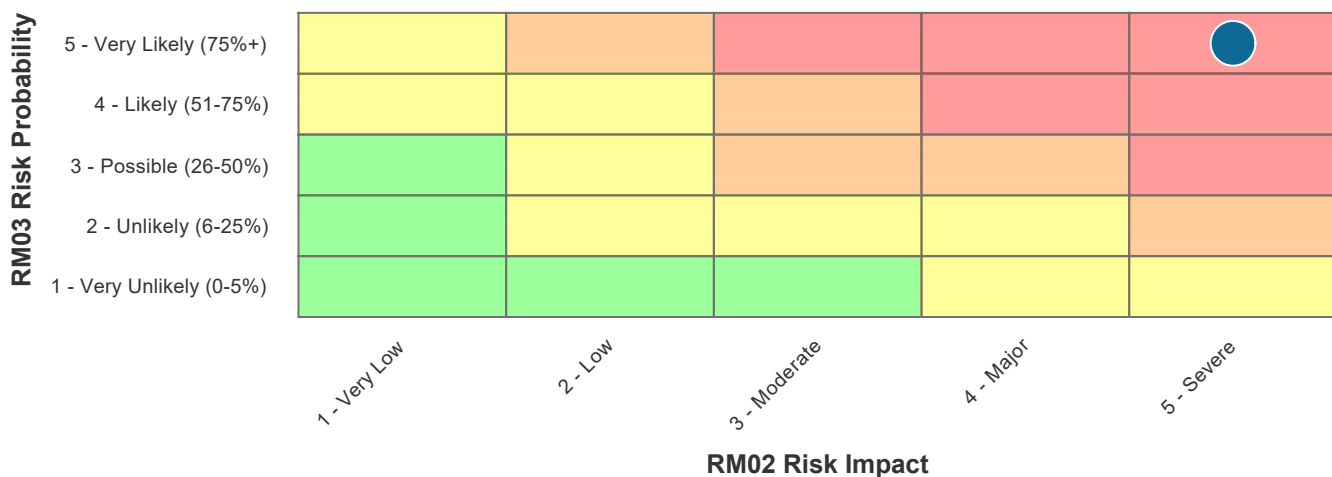
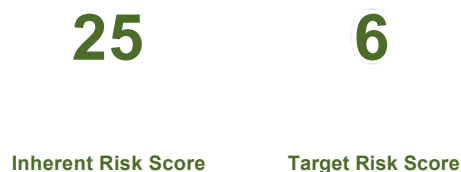
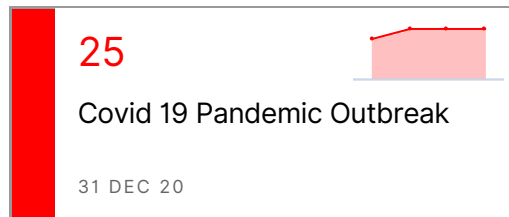
Corporate Risk Register

2020/21 Quarter 3 Update



Covid 19 Pandemic Outbreak

Short Description	The Corona Virus (COVID 19) pandemic has put at risk the operational ability of the Council to deliver its services, support vulnerable people across Newport and the economic impact to the local and wider economy. Potential mitigations carried out in line with the Chief Medical Officer's advice and Welsh/UK Government guidance.
Risk Owner	Rhys Cornwall
Overseeing Officer	Chief Executive
Lead Cabinet Member(s)	Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 1. Skills, Education & Employment ■ WBO 2. Economic Growth & Regeneration ■ WBO 3. Healthy, Independent & Resilient ■ WBO 4. Cohesive & Sustainable Communities ■ SRA 1. Supporting Education and Employment ■ SRA 2. Supporting the Environment & the Economy ■ SRA 3. Supporting Health & Well-being of Citizens ■ SRA 4. Supporting Citizens post Covid-19



Direction of Risk

	DoR	Comment
Covid 19 Pandemic Outbreak	➔	This risk remains at 25 at the end of Quarter 3. Wales went into Alert Level 4 restrictions from 20th December due to the identification of new Covid variants, increased pressure on hospital admissions and increasing rates of Covid cases. The Council services are continuing as normal with Covid Recovery Group (Gold) overseeing operations and responding to any changes in legislation / regulation from Welsh Government. Certain areas of the Council are facing pressures due to staff Covid related sickness. Schools are only open for critical workers and vulnerable children.

Stability of Social Services Providers

Short Description	The Council requires support from external providers to deliver care packages for children and adults (residential / Non Residential). The current marketplace for external providers is volatile due to the increasing costs to provide care, maintain homes, staffing meeting legislative and regulatory requirements.
Risk Owner	Jenny Jenkins
Overseeing Officer	Head of Adult and Community Services
Lead Cabinet Member(s)	Cabinet Member for Social Services
Linked Theme	Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 3. Healthy, Independent & Resilient ■ SRA 3. Supporting Health & Well-being of Citizens

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Stability of Social Services Providers

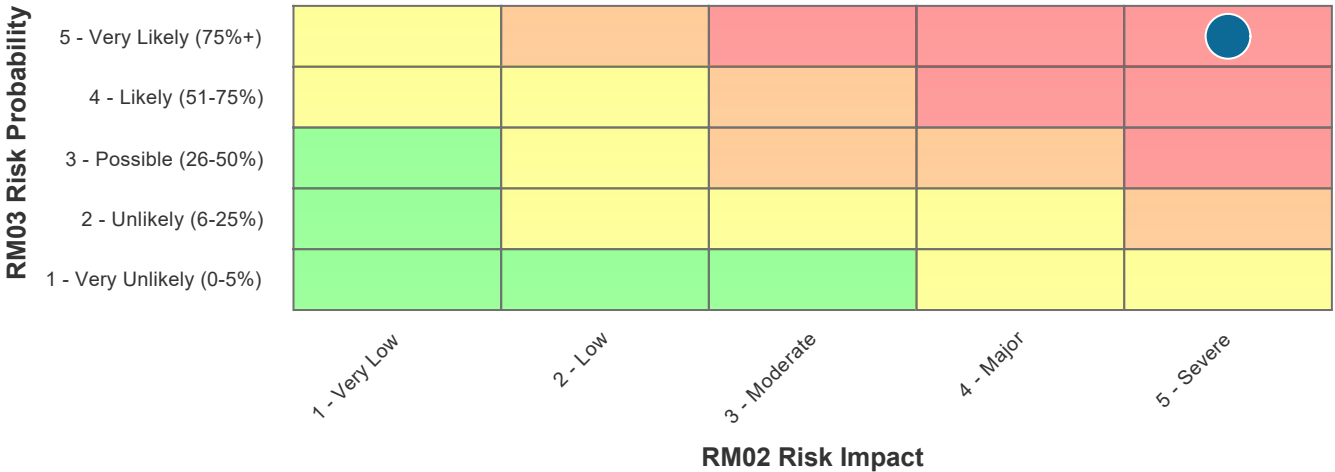
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Inherent Risk Score

Target Risk Score

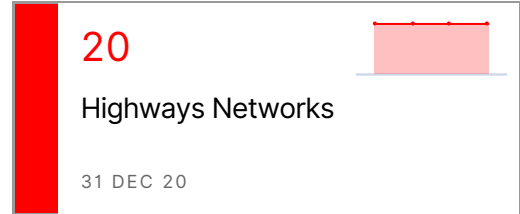


Direction of Risk

	DoR	Comment
Stability of Social Services Providers	➡	The risk score for quarter 3 remains the same as social care providers are having to manage resources within Covid-19 restrictions. In the short term providers (residential and domiciliary) are having to manage their financial and human resources in light of additional demand and staff / carers having to self-isolate. The Council is working closely with all providers to prevent any unforeseen risk(s) and issue(s) and that users / carers continue to be supported.

Highways Networks

Short Description	Failure to recognise current levels of under investment in the whole life of the city's highway network assets in the medium to long term will continue to compound existing maintenance backlog figures.
Risk Owner	Paul Jones
Overseeing Officer	Chief Executive
Lead Cabinet Member(s)	Deputy Leader & Cabinet Member for City Services & Member Development
Linked Theme	Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 2. Economic Growth & Regeneration ■ WBO 3. Healthy, Independent & Resilient ■ SRA 2. Supporting the Environment & the Economy ■ SRA 3. Supporting Health & Well-being of Citizens

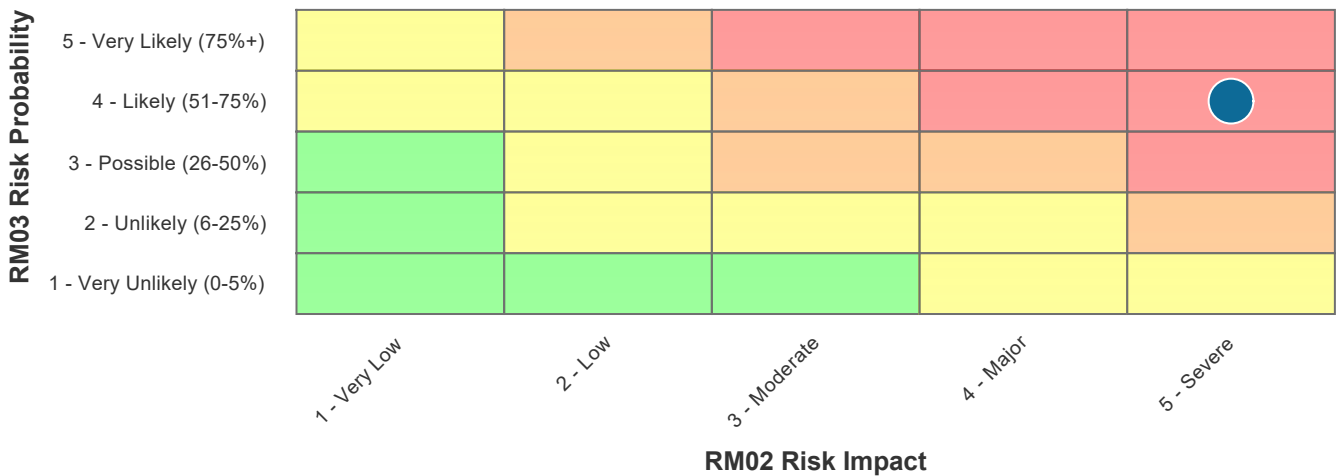


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Inherent Risk Score

Target Risk Score

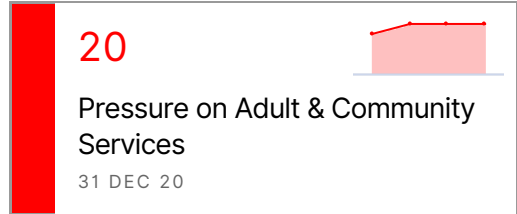


Direction of Risk

	DoR	Comment
Highways Networks	➡	No change for the end of Quarter 3. The long term maintenance of the Highways infrastructure remains a high risk due to the backlog of work and the necessary funding (over £80 million) required.

Pressure on Adult & Community Services

Short Description	<p>There is increased pressure on Adult Services to deliver services to adults with complex and long lasting needs.</p> <p>With an increase in demand / volume of referrals and care packages the Council has seen an increase in costs whilst in the context of tightening budgets.</p> <p>There are also additional statutory requirements to safeguard adults in our care and prevent risk of harm, injury or a loss of life.</p>
Risk Owner	Jenny Jenkins
Overseeing Officer	Head of Adult and Community Services
Lead Cabinet Member(s)	Cabinet Member for Social Services
Linked Theme	Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 3. Healthy, Independent & Resilient ■ SRA 3. Supporting Health & Well-being of Citizens

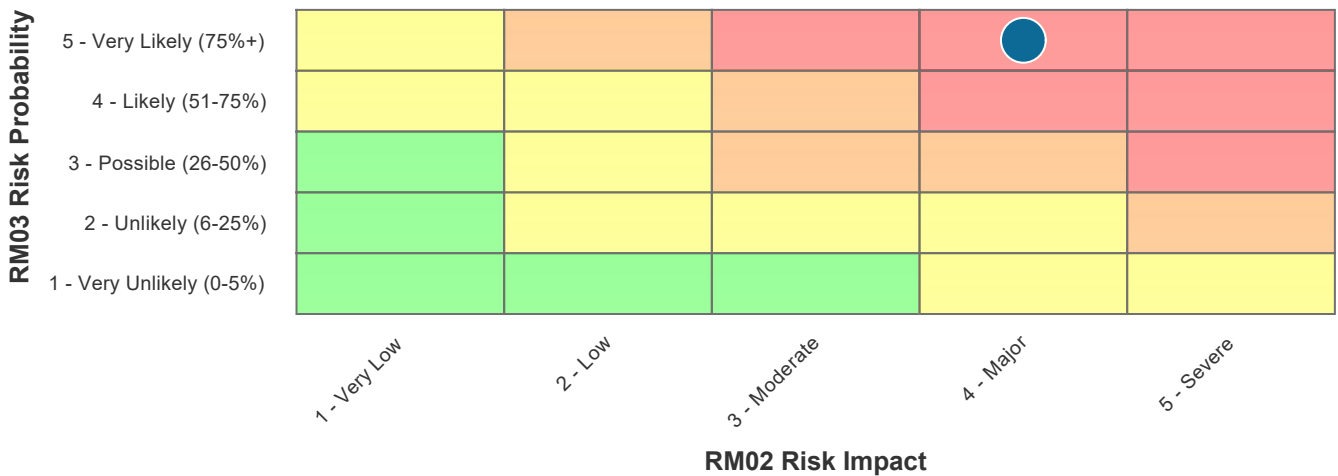


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Inherent Risk Score

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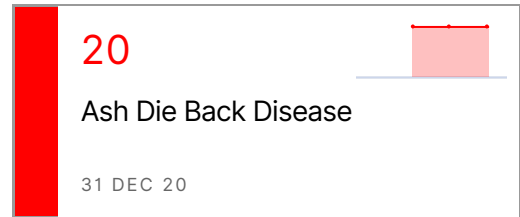


Direction of Risk

	DoR	Comment
Pressure on Adult & Community Services	➡	There is no change to the risk score for quarter 3 due to the ongoing pressures being faced by residential and domiciliary care sectors during this pandemic. Covid restrictions, staff self-isolating and ongoing pressures in the health and social care sectors continue to impact the delivery of services.

Ash Die Back Disease

Short Description	Ash Die back disease will affect tree population in Newport. The disease has already been identified in Newport and could kill the majority of Ash trees in the authority. The impact of no action will be significant numbers of tree failures that could see an increase in the number of people harmed by trees and property claims.
Risk Owner	Joanne Gossage
Overseeing Officer	Head of Streetscene and City Services
Lead Cabinet Member(s)	Deputy Leader & Cabinet Member for City Services & Member Development
Linked Theme	Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 2. Economic Growth & Regeneration ■ WBO 4. Cohesive & Sustainable Communities

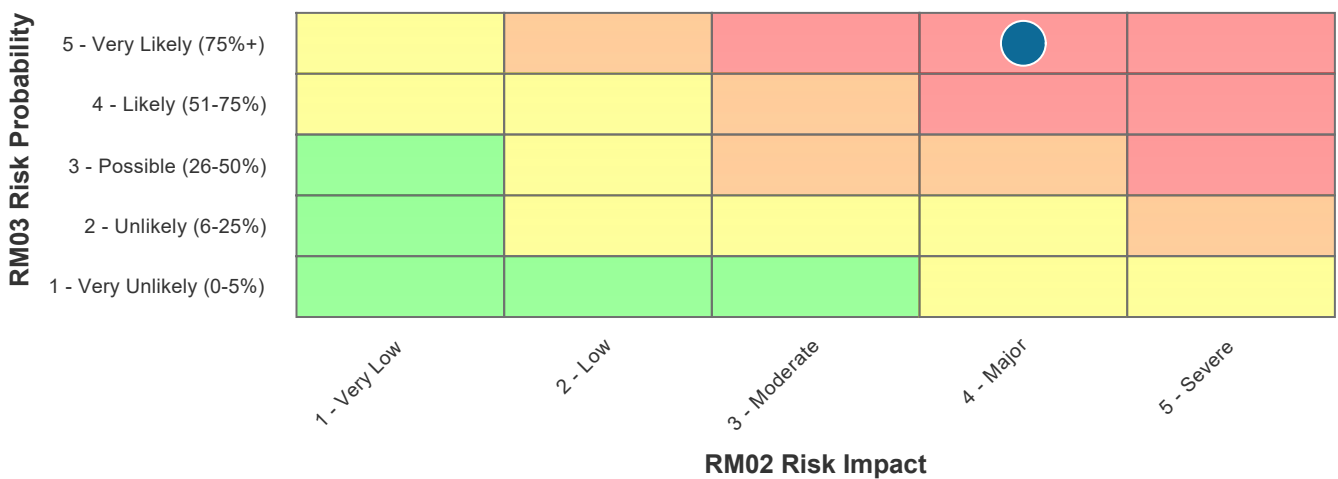


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Inherent Risk Score

Target Risk Score

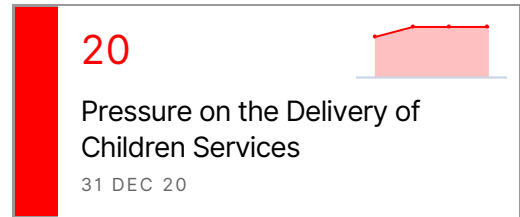


Direction of Risk

	DoR	Comment
Ash Die Back Disease	➡	Major works undertaken already this year, Caerleon Road in Q3. On going surveys have identified further urgent works in Q4 on arterial roads across the city.

Pressure on the Delivery of Children Services

Short Description	Increased pressure on Children Services to manage increase in volume of referrals / cases of children with complex needs. This is in the context of budgets not being able to meet increase in costs to provide the necessary care and front-line staff being able to manage high volume and complex caseloads.
Risk Owner	Sally Jenkins
Overseeing Officer	Chief Executive
Lead Cabinet Member(s)	Cabinet Member for Social Services
Linked Theme	<ul style="list-style-type: none"> Theme : Aspirational People Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 3. Healthy, Independent & Resilient SRA 3. Supporting Health & Well-being of Citizens

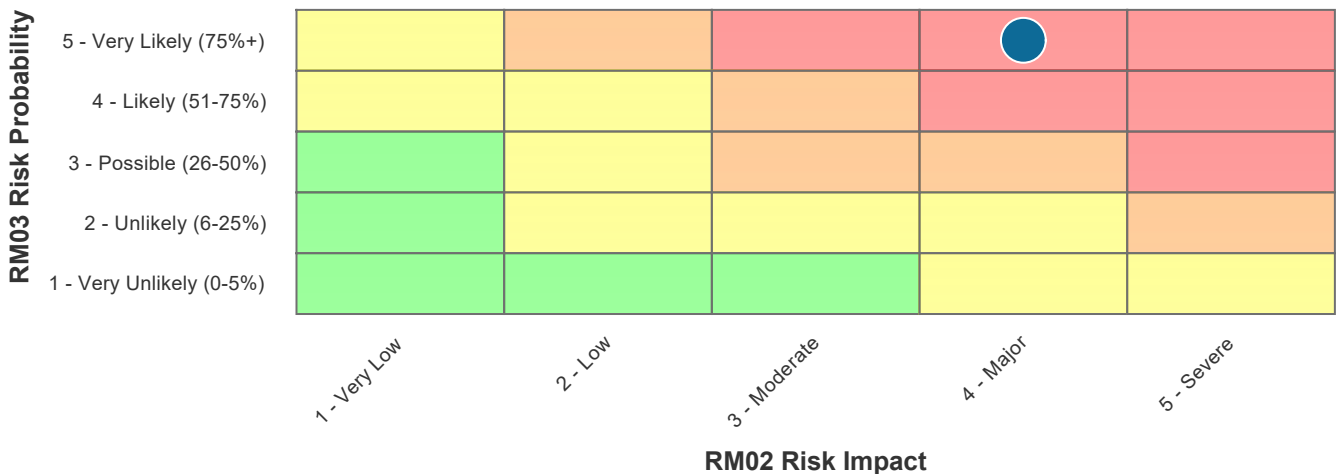


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Inherent Risk Score

Target Risk Score



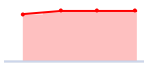
Direction of Risk

	DoR	Comment
Pressure on the Delivery of Children Services	➡	No change in this risk score and the service remains under pressure.

Balancing the Council's Medium Term Budget

Short Description	To meet the Council's requirement of reducing the gap between Council spend and Budget allocation over the next 3-5 years
Risk Owner	Owen James
Overseeing Officer	<ul style="list-style-type: none"> ■ Chief Executive ■ Head of Finance
Lead Cabinet Member(s)	Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	<ul style="list-style-type: none"> ■ Theme : Aspirational People ■ Theme : Modernised Council ■ Theme : Thriving City ■ Theme: Resilient Communities (Community) ■ Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 1. Skills, Education & Employment ■ WBO 2. Economic Growth & Regeneration ■ WBO 3. Healthy, Independent & Resilient ■ WBO 4. Cohesive & Sustainable Communities

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Balancing the Council's Medium Term Budget

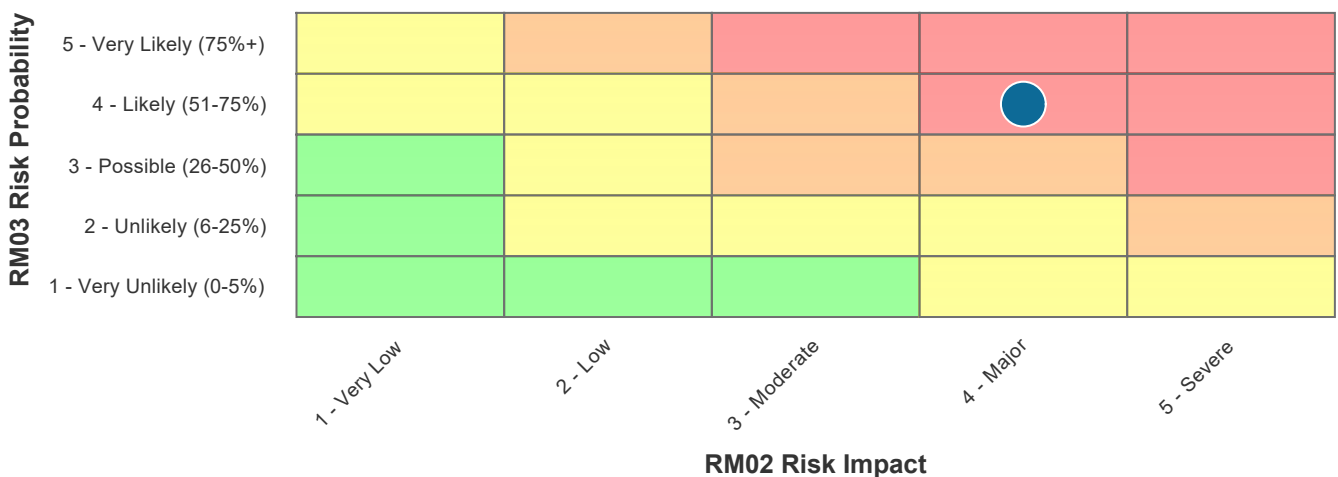
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Inherent Risk Score

Target Risk Score

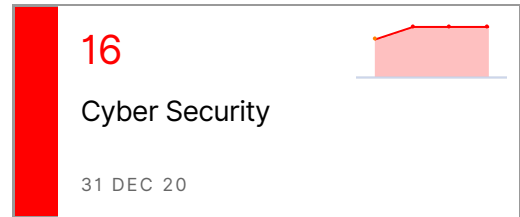


Direction of Risk

	DoR	Comment
Balancing the Council's Medium Term Budget	➔	<p>While the increased forecast settlement received for 2021/22 has improved the overall budget gap, without any medium term funding from UK government and Welsh Government beyond 2021/22 there is uncertainty on the level of future funding still.</p> <p>Given the current pandemic and economic situation this brings a greater risk as we don't know the impact on the public finances available and how the increased UK debt position is dealt with.</p>

Cyber Security

Short Description	Management and security of the Council's ICT systems to protect personal and sensitive data from theft and loss whilst also maintaining business continuity and integrity of our systems.
Risk Owner	Rhys Cornwall
Overseeing Officer	Chief Executive
Lead Cabinet Member(s)	Cabinet Member for Community and Resources
Linked Theme	Theme : Modernised Council
Linked Corporate Objective	

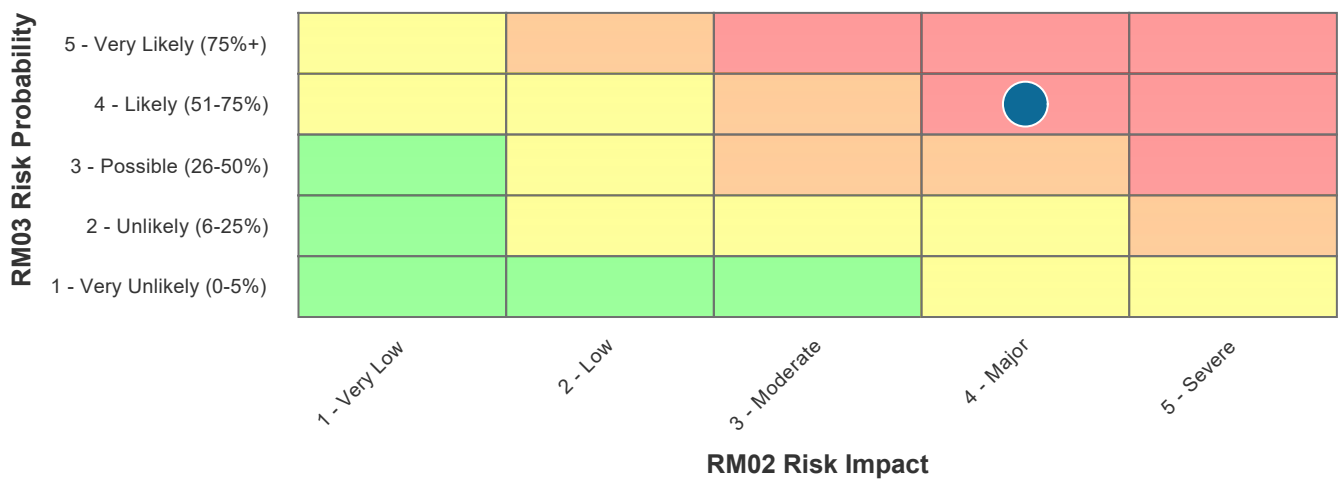


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Inherent Risk Score

Target Risk Score

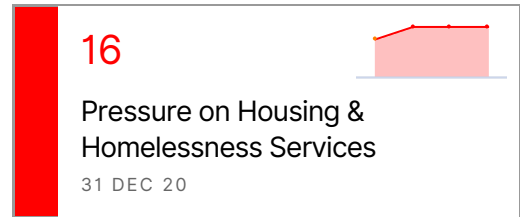


Direction of Risk

	DoR	Comment
Cyber Security	➡	The risk score remains the same for the end of quarter 3. Threats of attack against Council IT systems are ongoing and the Council's IT provider Shared Resource Service continues to manage these threats.

Pressure on Housing & Homelessness Services

Short Description	Increased pressures being faced by the Council's housing service during the Covid-19 pandemic to support people that are presenting at risk of becoming homeless, those persons sleeping rough and those experiencing difficulties in their accommodation.
Risk Owner	Ben Hanks
Overseeing Officer	<ul style="list-style-type: none"> Chief Executive Head of Regeneration, Investment and Housing
Lead Cabinet Member(s)	Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	<ul style="list-style-type: none"> Theme: Resilient Communities (Community) Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> WBO 4. Cohesive & Sustainable Communities SRA 2. Supporting the Environment & the Economy SRA 4. Supporting Citizens post Covid-19 WBO 2. Economic Growth & Regeneration WBO 3. Healthy, Independent & Resilient

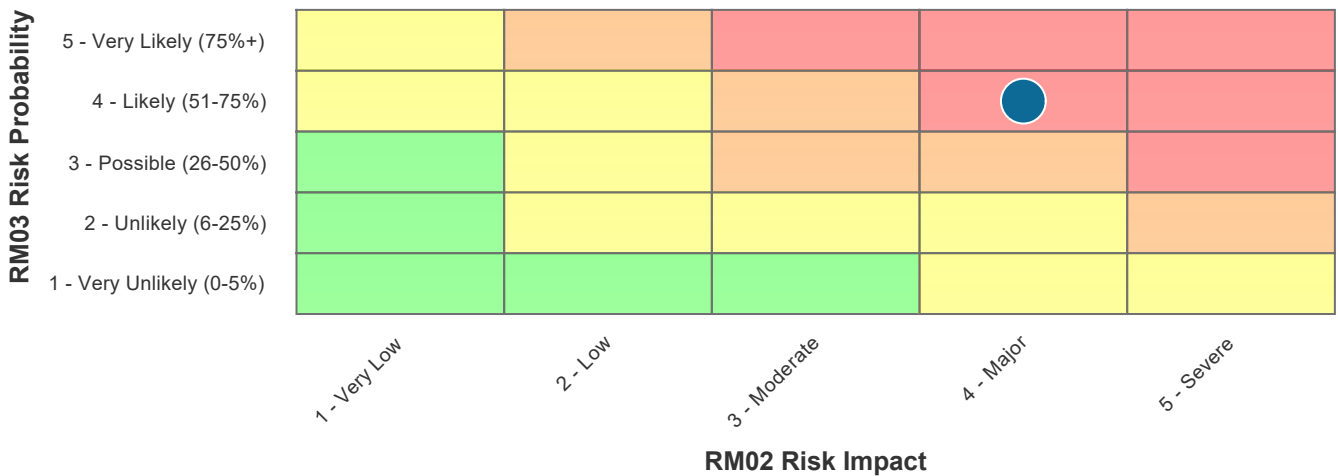


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Inherent Risk Score

Target Risk Score

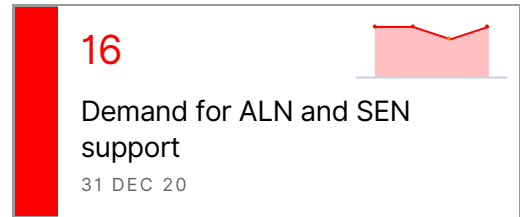


Direction of Risk

	DoR	Comment
Pressure on Housing & Homelessness Services	➡	No change since past period. Pressure of homelessness presentations, legislative duties and accommodation supply remains at a consistent level.

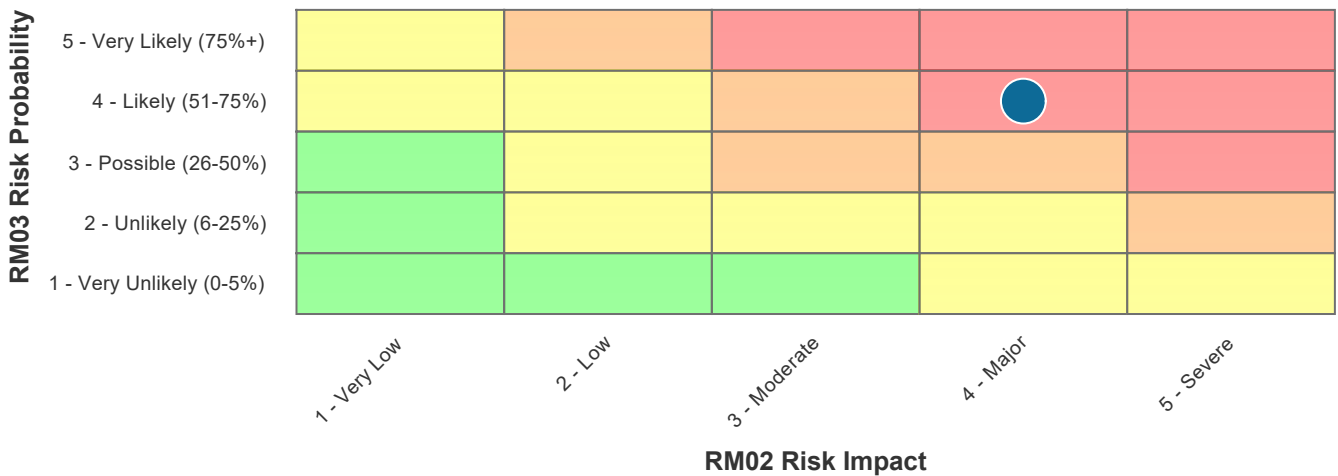
Demand for ALN and SEN support

Short Description	Funding to cover Additional Learning Needs (ALN) and Special Education Needs (SEN) provision across the city is insufficient and does not meet the demand of increasing need.
Risk Owner	Katy Rees
Overseeing Officer	Chief Education Officer
Lead Cabinet Member(s)	Cabinet Member for Education and Skills
Linked Theme	Theme : Aspirational People
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 1. Skills, Education & Employment ■ WBO 3. Healthy, Independent & Resilient ■ SRA 1. Supporting Education and Employment




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Inherent Risk Score
Target Risk Score



Direction of Risk

	DoR	Comment
Demand for ALN and SEN support		During the Sept-Dec period 139 Formal Assessment requests were received. This increase has caused a pressure on Inclusion services. There is a growing number of pupils with complex needs entering our Schools, due to the pandemic some of these pupils did not access nursery or reception which has further delayed their progress. Due to the lack of capacity in the Inclusion service there is a very limited amount of preventative work offered to Schools. The Special Schools and the majority of primary Learning Resource Bases are at capacity. The ALN Implementation group will reconvene in the spring to review SEN funding to Schools.

Schools Finance / Cost Pressures

Short Description	In year cost pressures of schools are not met resulting in increased deficit budgets
Risk Owner	Sarah Morgan
Overseeing Officer	<ul style="list-style-type: none"> Chief Education Officer Chief Executive
Lead Cabinet Member(s)	<ul style="list-style-type: none"> Cabinet Member for Education and Skills Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	Theme : Aspirational People
Linked Corporate Objective	WBO 1. Skills, Education & Employment

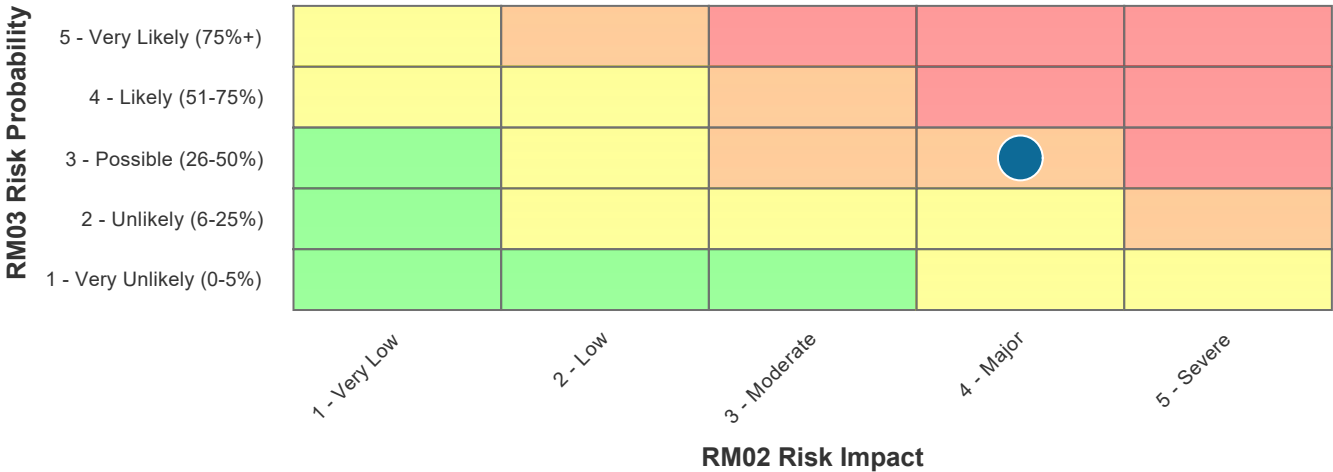


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Inherent Risk Score

Target Risk Score

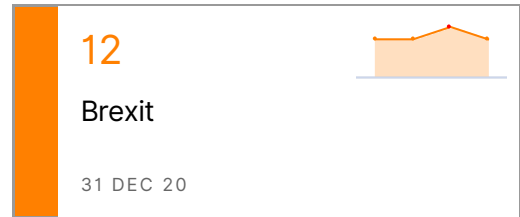


Direction of Risk

	DoR	Comment
Schools Finance / Cost Pressures		Total sector budgets are now £2 million pounds in surplus due to schools being closed for prolonged periods of time. However without careful budget management school are still at risk of developing deficit positions. The total number of schools in deficit remains the same.

Brexit

Short Description	The UK Government (UKG) and EU have now entered into the transition phase to negotiate a trade agreement by 31st December 2020. Should the UKG fail to secure a deal, the UK will fall back onto World Trade Organisation (WTO) arrangements which could impact on the cost and supply of goods / services and could impact on the economy and business arrangements. Also concerns around EU citizens living in the UK.
Risk Owner	Rhys Cornwall
Overseeing Officer	Chief Executive
Lead Cabinet Member(s)	Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	
Linked Corporate Objective	<ul style="list-style-type: none"> ■ SRA 2. Supporting the Environment & the Economy ■ WBO 1. Skills, Education & Employment ■ WBO 2. Economic Growth & Regeneration ■ WBO 3. Healthy, Independent & Resilient ■ WBO 4. Cohesive & Sustainable Communities

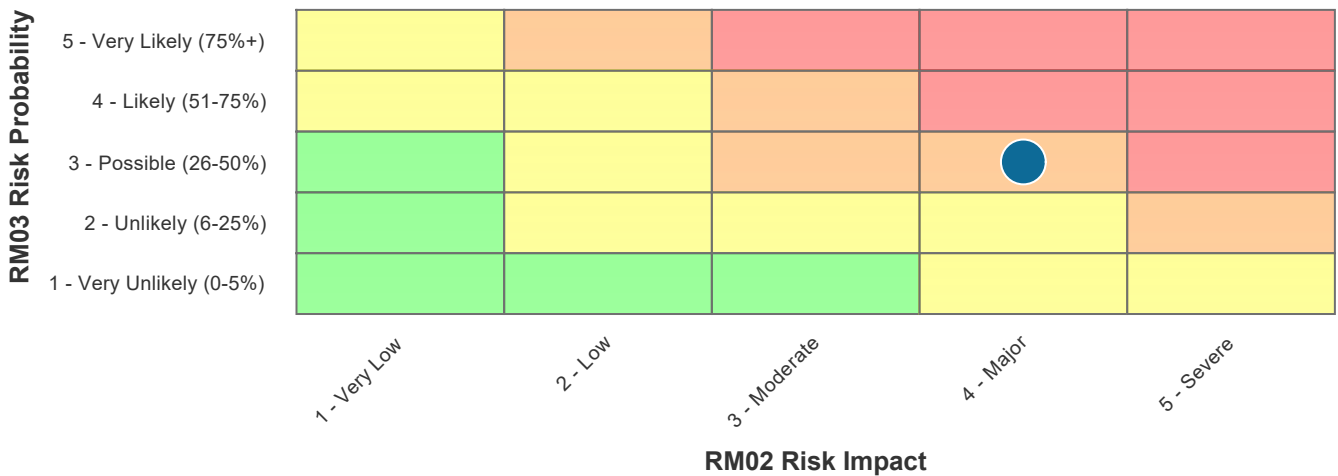


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Inherent Risk Score

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Target Risk Score

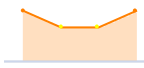


Direction of Risk

	DoR	Comment
Brexit		The risk score for Brexit has reduced from 16 to 12 reflecting the fact that the UK Government and EU agreed a tariff free trade deal. However, there remains risks in relation to the post Brexit arrangements and ensuring EU citizens living in the UK apply for EU Settled Status by 30th June. Additionally, the economy remains in a precarious position due to Covid and new trade arrangements which could impact on short to medium term stability of business, labour market and consumer confidence from 2021 onwards.

Newport Council's Property Estate

Short Description	NCC has a significant property estate covering over 170 buildings (circa) such as the Civic Centre, Telford Depot, schools etc. The Council has to ensure the estate is maintained to required standards to enable access, safety, security and in the long term sustainable for staff and residents to use.
Risk Owner	Ben Hanks
Overseeing Officer	<ul style="list-style-type: none"> ■ Chief Executive ■ Head of People & Business Change ■ Head of Regeneration, Investment and Housing
Lead Cabinet Member(s)	Cabinet Member for Assets
Linked Theme	Theme : Modernised Council
Linked Corporate Objective	<ul style="list-style-type: none"> ■ SRA 2. Supporting the Environment & the Economy ■ SRA 3. Supporting Health & Well-being of Citizens ■ WBO 2. Economic Growth & Regeneration ■ WBO 3. Healthy, Independent & Resilient

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Newport Council's Property Estate

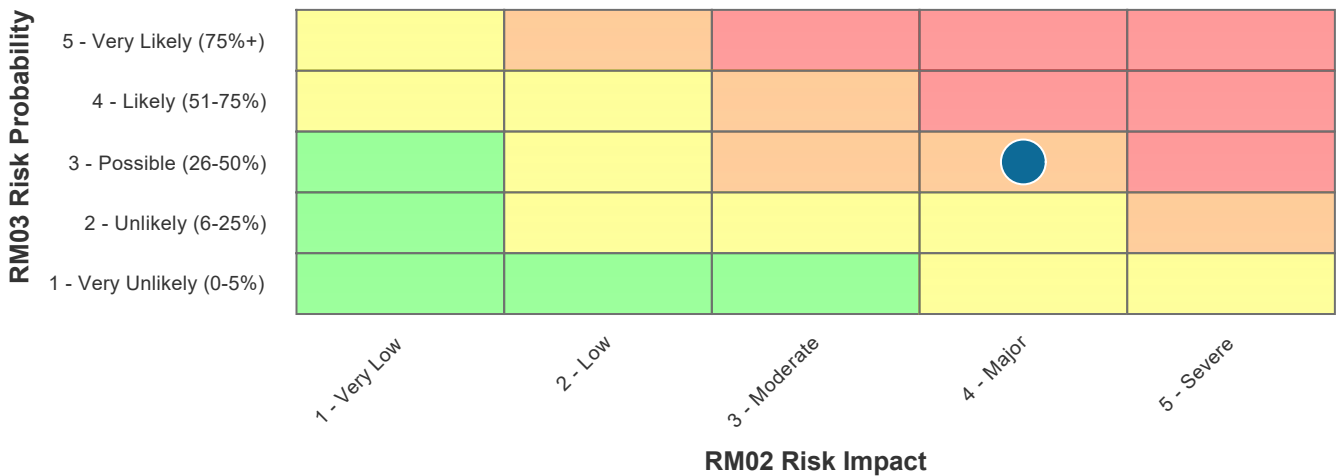
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Inherent Risk Score

Target Risk Score



Direction of Risk

	DoR	Comment
Newport Council's Property Estate		Increased probability of risk due to having undertaken the majority of condition surveys throughout NCCs operational estate.

City Centre Security & Safety

Short Description	Significant incidents of deliberate acts that pose hazards to people in surrounding areas; structural damage; business continuity; damage/disruption to infrastructure and utilities; and reputational and economic impact.
Risk Owner	Rhys Cornwall
Overseeing Officer	Strategic Director - Place
Lead Cabinet Member(s)	Deputy Leader & Cabinet Member for City Services & Member Development
Linked Theme	Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 2. Economic Growth & Regeneration ■ SRA 2. Supporting the Environment & the Economy

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City Centre Security & Safety

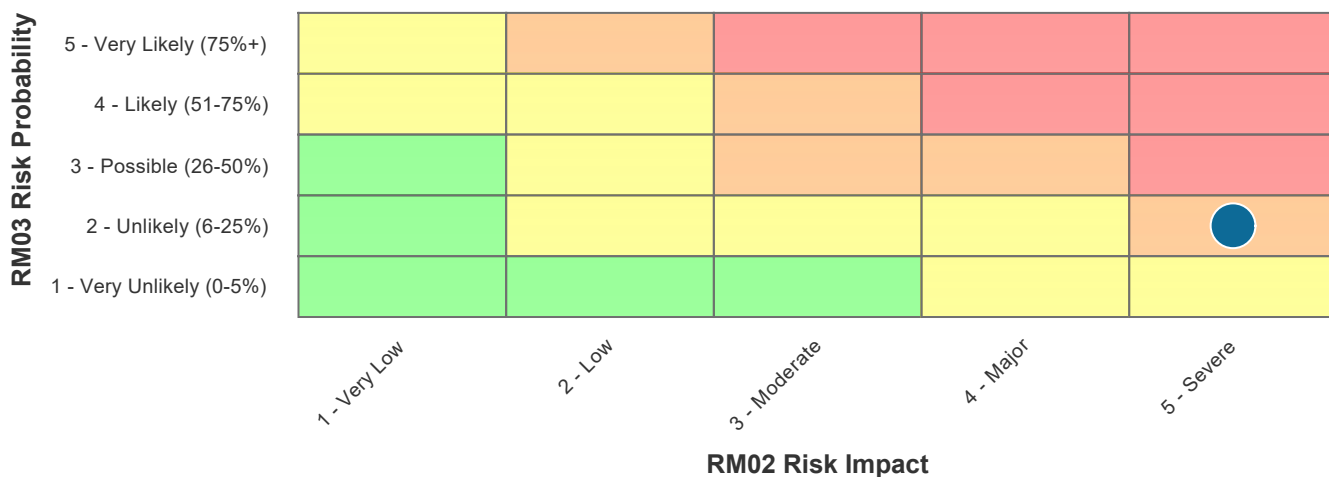
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Inherent Risk Score

Target Risk Score

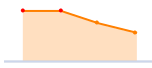


Direction of Risk

	DoR	Comment
City Centre Security & Safety	➡	The risk score remains the same as lockdown measures continue to be in place.

Educational Out of County Placements

Short Description	Limited access to Newport City Council (NCC) provision for pupils who require complex and specialist placements which results on a reliance on Out of County (OOC) placements both day and residential.
Risk Owner	Katy Rees
Overseeing Officer	Chief Education Officer
Lead Cabinet Member(s)	Cabinet Member for Education and Skills
Linked Theme	Theme : Aspirational People
Linked Corporate Objective	<ul style="list-style-type: none"> ▪ WBO 1. Skills, Education & Employment ▪ SRA 1. Supporting Education and Employment

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Educational Out of County Placements

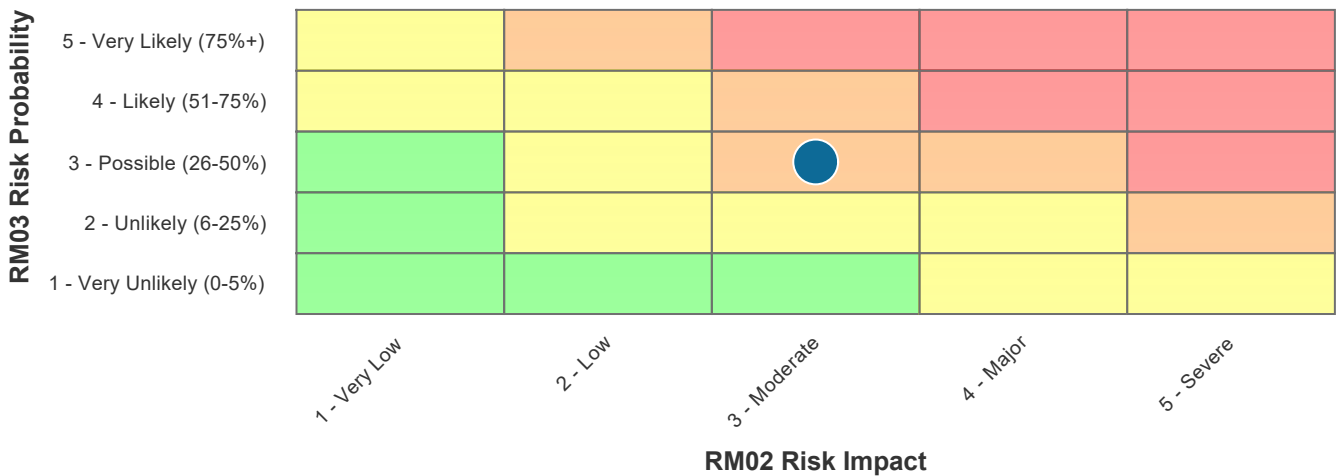
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Inherent Risk Score

Target Risk Score

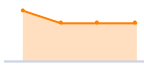


Direction of Risk

	DoR	Comment
Educational Out of County Placements		The Out of County budget and placements are very carefully monitored on a monthly basis. Additional local placements have been commissioned from Newport Live and Catch 22. Plans are being developed to expand Ysgol Bryn Derw to provide additional Foundation Phase placements.

Climate Change

Short Description	Scientific evidence indicates that the global climate is warming and is changing the environment that we live in Wales and in Newport. The cause of this change is through emissions produced by industry, vehicles, households and businesses. Newport has 11 Air Quality Management Areas which monitor air quality and since they were in place we have been in breach.
Risk Owner	Ben Hanks
Overseeing Officer	Chief Executive
Lead Cabinet Member(s)	Cabinet Member for Sustainable Development
Linked Theme	<ul style="list-style-type: none"> ■ Theme : Modernised Council ■ Theme: Resilient Communities (Community) ■ Theme : Thriving City
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 2. Economic Growth & Regeneration ■ WBO 3. Healthy, Independent & Resilient

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Climate Change

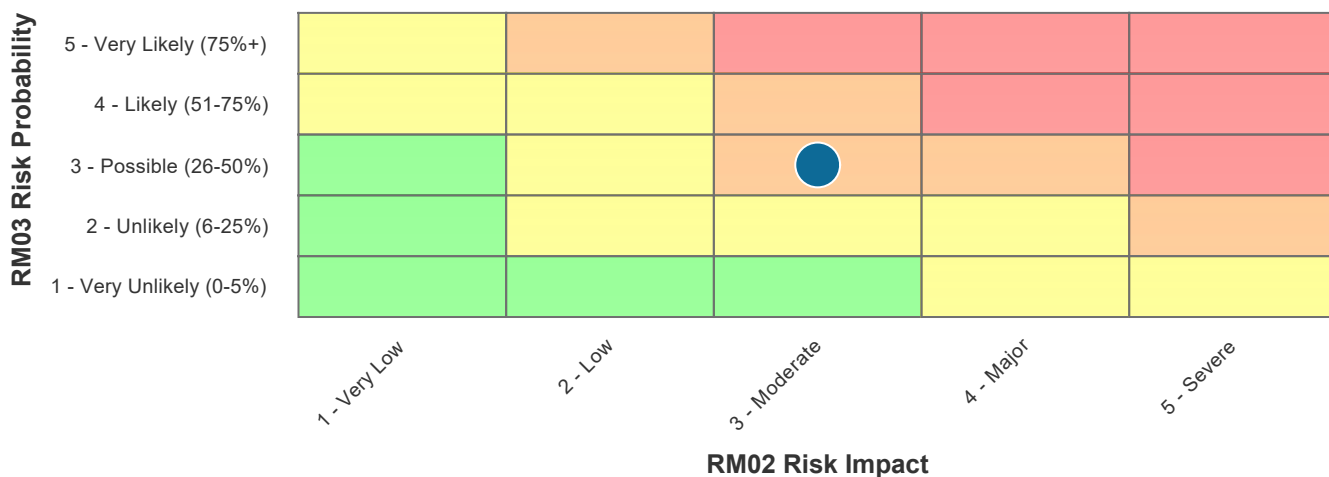
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Inherent Risk Score

Target Risk Score



Direction of Risk

	DoR	Comment
Climate Change	➡	No change since past period. Previous changes acknowledge the natural reduction in carbon due to levels of home working and reduced commuting.

In Year Financial Management

Short Description	This relates to the in year management of budgets and risk profiling of service areas / activities that are forecasting end of year overspends.
Risk Owner	Owen James
Overseeing Officer	<ul style="list-style-type: none"> ■ Chief Executive ■ Head of Finance
Lead Cabinet Member(s)	Leader of the Council & Cabinet Member for Economic Growth & Investment
Linked Theme	
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 1. Skills, Education & Employment ■ WBO 2. Economic Growth & Regeneration ■ WBO 3. Healthy, Independent & Resilient ■ WBO 4. Cohesive & Sustainable Communities

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In Year Financial Management

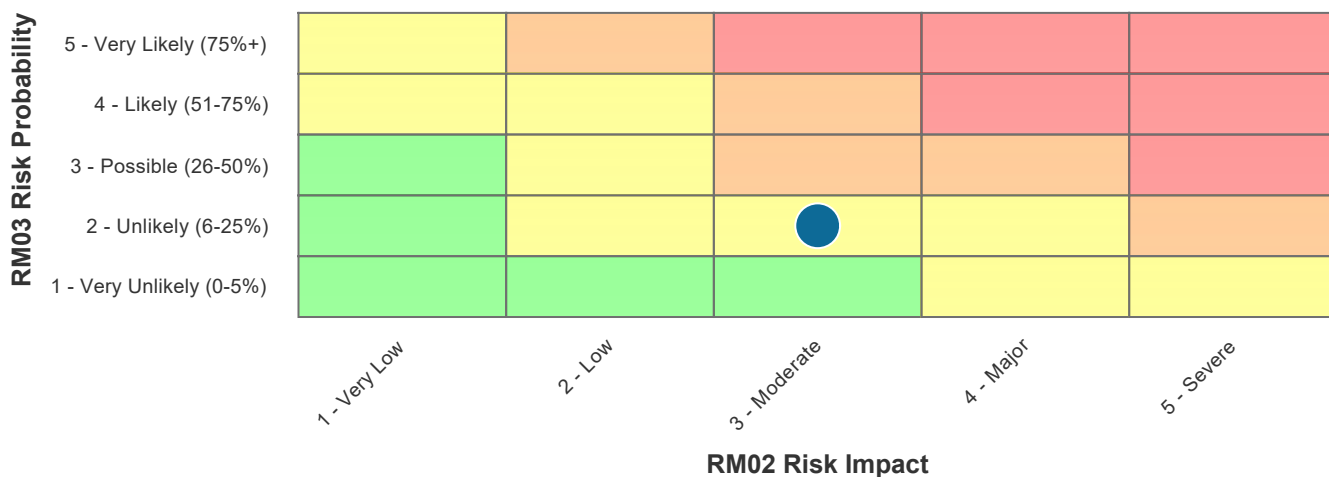
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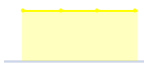


Direction of Risk

	DoR	Comment
In Year Financial Management	➡	The risk of in-year overspend remains low due to the projections continues to show a forecast underspend for the year.

Safeguarding Risk

Short Description	To ensure the Council safeguards adults, children and carers as part of its statutory duty.
Risk Owner	Mary Ryan
Overseeing Officer	<ul style="list-style-type: none"> ■ Chief Education Officer ■ Chief Executive ■ Head of Adult and Community Services ■ Head of Children and Family Services ■ Head of Regeneration, Investment and Housing
Lead Cabinet Member(s)	Cabinet Member for Social Services
Linked Theme	Theme: Resilient Communities (Social Care)
Linked Corporate Objective	<ul style="list-style-type: none"> ■ WBO 3. Healthy, Independent & Resilient ■ SRA 3. Supporting Health & Well-being of Citizens

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Safeguarding Risk

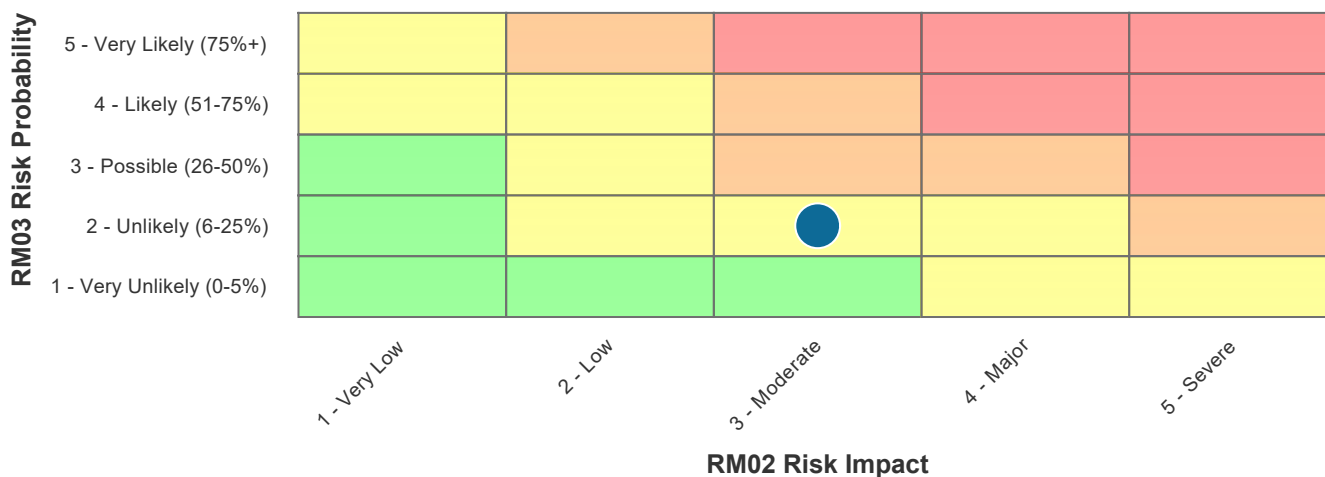
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Inherent Risk Score

Target Risk Score



Direction of Risk

	DoR	Comment
Safeguarding Risk	➡	There is no change to the risk score for Quarter 3.